

Guidelines for the preparation of research proposals for the Araucaria Foundation, Parana State Funding Agency (FAP ARAUCARIA) in collaboration with proposals submitted to Horizon Europe

Horizon Europe is the Research and Innovation (R&I) Framework Programme of the European Union with a budget of € 95.5 billion, running from 2021 to 2027.

Horizon Europe proposals can include researchers, academic and non-academic institutions (including enterprises) from countries outside the European Union (EU).

The EU research and innovation framework programmes are open to participation from across the world. This means that Brazilian entities can team up with European partners to develop collaborative proposals. However, not all international partners are automatically eligible for EU funding. While the EU finances the participation of partners from developing countries, it does not automatically fund the partners from industrialised countries such as Brazil.

To support Brazilian participation in Horizon Europe actions and in view of the fact that participants from the Brazil are not automatically funded by the EU, the Commission and three Brazilian funding agencies; the National Council for Scientific and Technological Development (CNPq), the Funding Agency for Studies and Projects (FINEP) and the Brazilian National Council of state Funding Agencies (CONFAP) signed an Administrative Arrangement on the mechanisms to support Brazilian participation in Horizon Europe (2021-2027) collaborative projects, Partnerships and Missions on 19 November 2021. This comes under the Agreement for Scientific and Technological Cooperation between the European Community and the Federative Republic of Brazil.

Researchers eligible for funding by the Araucaria Research Foundation (FAP ARAUCARIA) can use the normal modes of funding offered by the foundation to support their participation in Horizon Europe proposals. The guidelines below offer instructions to this end.

1. General information for the applicants

1.1 Eligibility Consultation

- a) Researchers from the state of PARANÁ must consult FAP Araucária about their eligibility before starting the preparation of their proposals. FAP Araucária will issue and send to applicants a declaration concerning their eligibility within this Call. If positive, this Letter of Eligibility may be shared by the Principle Investigator (co-PI) in Brazil to confirm his/her conditions to participate in the consortium.
- b) Applicants will have their eligibility evaluated within 20 days of the receiving of their request;
- c) The eligibility consultation must be sent exclusively to the e-mail internacionalizacao@fundacaoaraucaria.org.br with the following information:
 - c.1) CV in English of the co-PI from the state of Paraná
 - c.2) Name and institution of the PI from Horizon Europe;
 - c.3) Title of the proposal to be submitted;
 - c.4) Estimated budget to be requested to FAP and identification of the funding modality requested;
 - c.5) Duration of the project;
 - c.6) a summary description of (i) the project that will be submitted to Horizon Europe, (ii) the contribution to project that will be given by the co-PI in Brazil, and (iii) the benefits to the development of science and technology in the state of Paraná.



1.2 Proposal preparation and submission

1) FAP Araucária will receive proposals associated to the Horizon Europe programme at any time in connection with Horizon Europe Calls. Please note that Horizon Europe has its own deadlines, which must be met by the proponents from the EU.

The Horizon Europe calls for proposals can be accessed at:

https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/opportunities/funding-updates

- 2) The proposal submitted to FAP Araucária will be accepted for review only if FAP Araucária is consulted before submission of the complete proposal to Horizon Europe. Preliminary consultations are essential in order to include participants from Brazil in the Horizon Europe proposal, making sure that the orientations from the FAP involved have been priorly considered.
- 3) The proposal submitted to FAP Araucária will be accepted for review only if it makes clear that the co-Principal Investigator (co-PI) in Brazil had a relevant participation¹ in the design of the complete research project submitted to Horizon Europe, and that the resulting collaboration will contribute to the scientific and technological development of the state of Paraná.
- 4) The proposal submitted to Horizon Europe must make clear that the co-PI from Paraná will lead a relevant part of the research effort².
- 5) The proposal submitted to FAP Araucária must:
 - a) Include a copy of the proposal in English submitted to Horizon Europe Portuguese and English version.
 - b) Follow the guidelines for the particular FAP Araucária funding modality chosen by the proposer, including the directives described in the present guidelines.
 - c) Clearly mention in the title as well as in the page and introductory section that it is part of a proposal submitted to Horizon Europe.
 - d) Indicate details of the linked proposal submitted to Horizon Europe:
 - i) Name the title and acronym;
 - ii) Call reference³;
 - iii) Name of the Coordinating Institution, Name of the Project Manager, as indicated in the proposal (key staff section), his/hers' Institution's Participant Identification Code - PIC Number.
 - e) Include a short section (maximum two pages) demonstrating why the participation of the co-PI from the state of Paraná is relevant for the Horizon Europe research project and describe the impact and importance for the scientific and technological development of the state of Paraná.
 - f) Include as an Appendix a full copy of the proposal submitted to Horizon Europe in the EU, including Part A (Details on Project Partners and on the Overall Project Budget) and Technical Annex Part B (description of the Project).
 - g) When submitting the proposal to the involved FAP, information must be shared with CONFAP, by email, with the contact point indicated in item 1.3.2.b).
 - h) All the documents for the proposals to be submitted to Araucária must be in English and Portuguese versions.

¹ Examples: within the Horizon Europe submitted project, is leader of work packages and/or tasks, or whose participation is fundamental for carrying out specific activities described in the Horizon Europe Proposal.

² Same as footnote 1.

³ Call reference is the title (Programme/Topic) and number of the call



1.3 Assessment

- 1) The assessment of the proposal submitted to FAP Araucária will follow the standard procedures used by the state Funding Agency for analysis and selection of research proposals. Assessment made by FAP Araucária shall keep the Horizon Europe proposal as confidential.
- 1) FAP Araucária's reviewers and panel members shall evaluate in particular: how the collaboration of the co-PI in Paraná is scientifically relevant, integrated to the EU proposal, and determinant to the success of the whole proposal; that the co-PI has participated actively in the conception, and in the preparation of the proposal.
- The proposal will be funded by FAP Araucária only if it is also approved by Horizon Europe. The FAP Araucária Award Term ("Termo de Outorga") will only be available for signature upon the submission of the Grant Agreement with which the EU awards the grant to the consortium.

1.4 Additional information

- 1) Proposals must follow the FAP Araucária guidelines for the particular funding modality chosen by the proposer, including directives described in the present guidelines.
- 1) Additional information can be obtained by sending a consultation to:
 - a) FAP Araucária: internacionaliacao@fundacaoaraucaria.org.br
 - a) CONFAP: elisa.confap@confap.org.br